PATENT Case No. 7887/14 AP20 Rec'd PCT/PTO 03 AUG 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE DESIGNATED/ELECTED OFFICE (DO/EO/US)

Appl. No.

Not yet assigned

Applicant

CARAG AG

Inventors:

Daniel THOMMEN; Simon FURRER;

Jérôme BERNHARD; Lukas CHRISTEN;

Silvia EGLI; Franziska GUNDI;

:

Marc ROBERT; Franz SUTER

Filed

HEREWITH

Title

AN IMPLANT FOR OCCLUDING A BODY

PASSAGE

TC/A.U.

Examiner

Docket No. :

7887/14

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97-1.98, Applicant brings to the attention of the Examiner the three (3) documents listed on the enclosed form PTO-1449. The documents listed are also enclosed. The Applicant requests that these documents be reviewed, considered and made of record.

August 3, 2006

BANIAK PINE & GANNON 150 N. Wacker Drive, Suite 1200 Chicago, Illinois 60606 (312) 673-0360 Respectfully submitted,

Michael H. Baniak, Reg. No. 30,608

Attorney for Applicant

Steven B. Courtright, Reg. No. 40,966

Agent for Applicants

FORM PTO-1449	Application Number: Not yet assigned		
INFORMATION DISCLOSURE	Filing Date:	Herewith 200262	
STATEMENT BY APPLICANT	First Named Inventor:	Daniel THOMMEN	
Sheet 1 of 1	Group Art Unit:		
	Examiner Name:		
	Attorney Docket Number:	7887/14	

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. 1	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
·		US-			
-		US-			
		US-			
-		US-			
	<u> </u>	US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	A1	WO 02/38051 A	05/16/2002	Carag		<u> </u>
	A2	EP 0 474 887 A	03/18/1992	Inoue		
	А3	WO 01/49185 A	07/12/2001	PFM		<u> </u>
						<u> </u>

EXAMINER SIGNATURE	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents**, P.O. Box 1450, Alexandria, VA 22313-1450.